

# FACTS

## WHAT DOES SIGNATURE INTELLIGENT PORTFOLIOS (“SIP”) DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Assets and account balances
- Investment experience and risk tolerance

When you are *no longer* our client, we continue to share your information as described in this notice.

### How?

All financial companies need to share clients’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients’ personal information; the reasons SIP chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does SIP share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don’t share
<b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness	No	We don’t share
<b>For our affiliates to market to you</b>	Yes	Yes
<b>For non-affiliates to market to you</b>	Yes	Yes

### To limit our sharing

- Mail the form on page 3 or call us at (310) 712-2363

**Please note:**

If you are a *new* client, we can begin sharing your information thirty (30) days from the date we sent this notice. When you are *no longer* our client, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

### Questions?

Call (310) 712-2363

## Who we are

## Who is providing this notice?

This privacy notice is provided by (i) Signature Estate & Investment Advisor, LLC, (ii) Signature Investment Advisors, LLC, and (iii) Signature Intelligent Portfolios, LLC.

## What we do

## How does SIP protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

## How does SIP collect my personal information?

We collect your personal information, for example, when you

- Open an account or enter into an investment advisory agreement
- Give us your income information and your contact information
- Tell us about your investment or retirement portfolio

We also collect your personal information from other companies

## Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

## What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account—unless you tell us otherwise.

## Definitions

## Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our affiliates include companies with a Signature name; financial companies such as Signature Estate Securities; nonfinancial companies, such as Signature Estate & Insurance Services and Signature Comprehensive Insurance Services*

## Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *SIP does not share with non-affiliates so they can market to you*

## Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *SIP doesn't jointly market*

## Other Important information

## Information for Vermont, California and Nevada Customers

California, North Dakota and Vermont residents: In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law. Nevada residents: Nevada law requires us to disclose that you may request to be placed on our "do not call" list at any time by calling us at 800.426.5105. To obtain further information, contact the Bureau of Consumer Protection, Office of the Nevada Attorney General at 555 E. Washington Ave., Suite 3900, Las Vegas, NV 88101; phone 1.702.486.3132; email [BCPINFO@ag.state.nv.us](mailto:BCPINFO@ag.state.nv.us).

Rights under the California Consumer Privacy Act: (i) If you are a California Resident you have the right to:

1. Request we disclose to you free of charge the following information covering the 12 months preceding your request: a. the categories of Personal Information about you that we collected; b. the categories of sources from which the Personal Information was collected; c. the purpose for collecting Personal Information about you; d. the categories of third parties to whom we disclosed Personal Information about you and the categories of Personal Information that was disclosed (if applicable) and the purpose for disclosing the Personal Information about you; and e. the specific pieces of Personal Information we collected about you;
2. Request we delete Personal Information we collected from you, unless the CCPA recognizes an exception; and
3. Be free from unlawful discrimination for exercising your rights under the CCPA.

ii. How to Exercise Your Rights

1. Completing the Personal Information Request Form and emailing the completed form to [operations@seia.com](mailto:operations@seia.com). This form is available on our website under the "Legal Disclaimer" section.
2. Calling (800) 723-5115



## Mail-in Form

**If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below**

**Apply my choices only to me**

Mark if you want to limit:

- Do not allow your affiliates to use my personal information to market to me.
- Do not share my personal information with nonaffiliates to market their products and services to market to me.

Name(s)

Address

City, State, Zip

Last 4 digits of each Account Number

Mail to: Signature Intelligent Portfolios, LLC, Compliance Dept.,  
2121 Avenue of the Stars, Suite 1600, Los Angeles, CA 90067